

Dear Prospective NH Lottery Retailer,

Thank you for your interest in becoming a New Hampshire Lottery Retailer. Since 1964, retailers helped the NH Lottery Commission raise nearly \$2 billion for public education in New Hampshire.

To become a NH Lottery Retailer, you must complete and submit an application and all required documentation. Only original applications will be accepted. E-mailed, faxed or photocopies will not be accepted.

The approval process will begin when all required documents are received, including the results of your personal credit history and criminal background checks. If required information is missing, the application will be considered incomplete and returned to you. An incomplete application can delay the process. Please be aware that, if your credit history fails to meet the threshold set by the NH Lottery, you may be required to provide a surety bond.

KENO 603 will only be authorized when the city or town where games will be played has voted to allow the operation of keno within its jurisdiction. A licensing fee of \$500 must also be included with this application. This fee will be refunded if the application is denied.

Once the application has been approved, a Lottery Sales Representative (LSR) will perform a physical survey of your location to ensure compliance with The Americans with Disabilities Act (ADA). If the location does not meet ADA requirements, you will be notified in writing, and given 30 days to comply. Information regarding the ADA requirements for small businesses can be found at www.ada.gov/smbustxt.htm.

NH Lottery Retailers are also required to:

- Provide a dedicated outlet to be used exclusively for the Lottery terminal. A dedicated electrical circuit originates from the circuit breaker panel and terminates in a dual electrical outlet. This must be located within 10 feet of the terminal location and nothing else can be plugged into this outlet at any time. A lottery terminal cannot be installed without this dedicated outlet.
- Attend a mandatory training. This training must be completed prior to the terminal being activated. Our office will contact you to schedule the training.
- If a new location, have a site survey completed by our vendor. This site survey is done to determine the type of communication needed to connect to your terminal. This is typically done at no expense to the Retailer.

Please allow a minimum of 4 weeks for completion of all the steps necessary to process the application.

NH Lottery Retailer licenses are non-transferable. If you are purchasing an existing business you must apply for your own Lottery license prior to taking ownership.

If you have any questions or need further guidance, please contact the Licensing department at 603-271-3391, Monday through Friday, 8:00 a.m. to 4:00 p.m.



#### **1. TYPE OF APPLICATION**

□ New Retailer – Seeking to become licensed as a NH Lottery Retailer and/or Keno 603 Retailer

• If not currently in operation, provide the anticipated date the business will be open:\_

□ Ownership Change – *New ownership of a licensed NH Lottery Retailer*.

- Provide the anticipated date of change of ownership:\_\_\_\_\_
- Allow 14 days for processing of this license

□ KENO 603 – Existing Retailer adding KENO 603

- To be eligible to operate KENO 603, the applicant must hold a current liquor license as specified in RSA 284:45, VI(a). See section 3 for more information.
- Include a \$500 license fee with this application. This fee will be refunded if the application is denied.

NH LOTTERY	PRODUCTS TO	<b>BE SOLD BY</b>	THE RETAILER
	I NODUCIS IO		

□ KENO 603/On-Line Games/Instant Games

2.

□ KENO 603/On-Line Games (no Instant Games)

□ On-Line/Instant Games (no KENO 603)

#### 3. BUSINESS INFORMATION

Name of Store/Retaile	er		Tax ID Number/EIN	
Name of Store/Retailer Owner/ (First MI Last)		Name of Store/Retailer Manager (First MI Last)		
Physical Street Address of Store/Retailer	City/Town	State	Zip	
Mailing Address of Store/Retailer* *Complete only if the m	City/Town ailing address is diffe	State rent than physical address no	Zip oted above	
Store/Retailer Pho	ne Number	Store/Retailer E	2-mail Address	
Is the business currently licensed as a NH	I Lottery Retailer?		□ Yes □ No	
Do you currently have an instant/on-line	ticket vending mach	ine at this location?	□ Yes □ No	
Do you currently have KENO 603 equipr	ment at this location	?	□ Yes □ No	
If seeking to offer KENO 603, has the cit	y/town where the ga	ame will be operated appro	oved keno? 🗆 Yes 🗆 No	

3. BUSINESS INFORMATION - Continued								
Is the business' trade name, corporation or partnership registered with the NH Secretary of State?  Yes No If yes, provide copy of documentation with this application.								
Does the location that you are applying for currently hold a valid State of NH liquor license?  Yes  No If yes, provide current liquor license number:								
<ul> <li>* RSA 284:45, VI(a) requires that, to be eligible to operate KENO 603, the applicant hold a valid liquor license as one of the following: (1) A Restaurant/hotel licensed under RSA 178:20, II, RSA 178:21, II(a) or (b), or RSA 178:22, V(q); (2) A brew pub licensed under RSA 178:13; (3) A ballroom licensed under RSA 178:22, V(c); (4) A veterans' club, private club, or social club licensed under RSA 178:22, V(h); (5) A Convention center licensed under RSA 178:22, V(i); (6) A Hotel licensed under RSA 178:22, V(k); (7) A racetrack licensed under RSA 178:22, V(n); or (8) A sports recreation facility licensed under RSA 178:22, V(v).</li> </ul>								
Indicate the type of business that is applying for this retailer license (check one):								
$\Box$ Sole Proprietor $\Box$ Partnership $\Box$ Corporation $\Box$ LLC $\Box$ Non-	-Profit Corp.							
If the business is a corporation or an LLC, the following information <u>MUST</u> be provided:								
Corporate/LLC Contact Person Phone Number E-mail Address	 N							
Corporate/LLC Mailing Address City/Town State	Zip							
Is the business seasonal?  Yes No If yes, what months of the year will the business be open?								
What are the business' hours of operation?								
SUN MON TUES WED THURS FRI SAT								
OPEN								
CLOSED								

4. PI	ROPERTY OW	NER INFORMATION		
Does the Store/Retailer own the property w If "No", provide the following owner		ttery products will be sold	l? □ Yes [	∃ No
	Property Own	ner's Name		
Property Owner's Mailing Address	City/Town	State	Zij	p
Property Owner's Phor	ne Number	Property Owne	er's E-mail Add	ress
5. OWNE	RSHIP/MANA	GEMENT INFORMAT	ION	
List the names of all current owners, partner listed below must provide their personal in and a copy of a photo ID.				
First Name MI	Last Name	Tit	le/Affiliation	
Do any of the individuals listed above curr	ently hold a licer	nse to sell lottery products	s in NH?	□ Yes □ No
If "yes", provide the following informa	•	ise to sen retury products		
Retailer Name		NH	Lottery Retaile	r Number
Physical Address of Retailer Locat	ion Ci	ty/Town	State	Zip

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5.	<b>OWNERSHIP/MANAGEMENT INFORMATION - Contin</b>	nued

Provide the following personal information for each owner, partner, member, manager and/or officer of the	
business listed above.	

			/ /	
First Name	MI	Last Name	// Date of Birth	Social Security #
Current Mailing Address		City/Town	State	Zip
Previous Mailing Address* *Only required	l if the ind	City/Town ividual has lived at their c	State urrent address for fewer the	Zip an 5 years
Home/Business Phone Number	Per	rsonal/Business Cell Phon	e Number	E-mail Address
I certify that I have not been con- has not been annulled by a cour- a court, or I have not violated other state. By signing below I credit history.	rt, or a cl any of th	ass B misdemeanor with e statutes or rules gover	hin the past 5 years, whic rning charitable gamblin	h has not been annulled by g in the past in this or any
I further certify my understand credit history does not meet the the commission as oblige, and settlement and remittances.	threshol	d set by the NH Lottery,	I may be required to pro	ovide a surety bond naming
Signature		Title/Affiliatio	n with Store/Retailer	Signature Date

## Print additional copies of this page if needed to provide information for all owners, partners, members, managers and/or officers of the business.

5. OWNERSHIP/MANAGEMENT INFORMATION - Continued								
Provide the following personal information for each owner, partner, member, manager and/or officer of the business listed above.								
Current Mailing Address	City	Town	State	Zip				
Previous Mailing Address* City/Town State Zip *Only required if the individual has lived at their current address for fewer than 5 years								
Home/Business Phone Number	Personal/Bu	siness Cell Phone Nur	nber	E-mail Address				
I certify that I have not been convicted of a felony or a Class A misdemeanor within the previous 10 years, which has not been annulled by a court, or a class B misdemeanor within the past 5 years, which has not been annulled by a court, or I have not violated any of the statutes or rules governing charitable gambling in the past in this or any other state. By signing below I also affirm my consent for the NH Lottery Commission to conduct a check on my credit history.								
I further certify my understanding that a check will be performed of my credit history. I also understand that, if my credit history does not meet the threshold set by the NH Lottery, <u>I may be required to provide a surety bond</u> naming the commission as oblige, and conditioned upon my compliance with payment obligations relative to weekly settlement and remittances.								
Signature		Title/Affiliation with	n Store/Retailer	Signature Date				

## Print additional copies of this page if needed to provide information for all owners, partners, members, managers and/or officers of the business.

5. OWNERSHIP/MANAGEMENT INFORMATION - Continued							
Provide the following personal information for each owner, partner, member, manager and/or officer of the business listed above.							
First Name M	11 Las	st Name	Date of Birth	Social Security #			
Current Mailing Address	City	Town	State	Zip			
Previous Mailing Address* *Only required if t		Town s lived at their curret	State nt address for fewer the	Zip an 5 years			
Home/Business Phone Number	Personal/Bus	iness Cell Phone Nu	mber	E-mail Address			
I certify that I have not been conv has not been annulled by a court, of a court, or I have not violated any other state. By signing below I als credit history.	or a class B mis of the statutes	demeanor within th or rules governing	he past 5 years, which g charitable gambling	h has not been annulled by g in the past in this or any			
I further certify my understanding that a check will be performed of my credit history. I also understand that, if my credit history does not meet the threshold set by the NH Lottery, <u>I may be required to provide a surety bond</u> naming the commission as oblige, and conditioned upon my compliance with payment obligations relative to weekly settlement and remittances.							
Signature		Title/Affiliation with	h Store/Retailer	Signature Date			

## Print additional copies of this page if needed to provide information for all owners, partners, members, managers and/or officers of the business.

#### 6. AUTHORIZED REPRESENTATIVE OF THE BUSINESS

I certify that I am authorized to submit this application on behalf of the Business.

I further certify that the business will not allow any employee to operate KENO 603 games if such person has been convicted of a felony within the previous 10 years, which has not been annulled by a court, or a misdemeanor involving falsehood or dishonesty within the previous 5 years, which has not been annulled by a court, or has violated the statutes or rules governing charitable gaming in this or any state.

I further certify, under penalty of unsworn falsification pursuant to RSA 641:3, that the information provided on this form and on any of the supporting documentation is true, accurate and complete and that there are no willful misrepresentations in or falsifications of the information provided herein.

First Name	MI	Last Name	Title/Affiliation with	h Store/Retailer	
Authorized Represen	ntative's Mailin	ng Address	City/Town	State Zi <sub>l</sub>	
Authorized Representative's E-mail Address		Authorized Representative's Phone Number			
Signature of A	Authorized Off	icial*	Signature Date		



### NH LOTTERY RETAILER APPLICATION CHECKLIST

Your application packet must include the following items:

A completed <i>NH Lottery Retailer Application</i> ;
If applicable, proof of registration with the NH Secretary of State, Corporation Division;
✓ A person conducting business under any name other than his/her own legal name must register with the NH Secretary of State. For example, John D. Smith doing business as "John D. Smith" does not have to register; however, if he conducts business as "John Smith Enterprises" he <b>does</b> have to register as "Enterprises" is not part of his legal name.
A State of New Hampshire Alternate W-9 form;
An Authorization Agreement for Withdrawals (ACH form for weekly EFT's);
A copy of voided check, or bank verification if savings account;
A signed <i>NH Lottery Retailer Agreement</i> ;
A photocopy of a state or government issued ID for each owner, partner, member, manager, or officer of the business identified in Sections 5 of the application.
✓ Photo ID can be a photocopy of a driver's license or passport;
A signed and notarized <i>Criminal Record Release Authorization</i> form for each owner, partner, member, manager or officer of the business identified in Section 5 of the application, along with payment of the processing fee.
✓ Please refer to the <i>Criminal Record Release Authorization</i> form for current fees.
✓ Checks must be made payable to: "State of NH – Criminal Records".
✓ All Criminal Record Release forms <u>MUST</u> be notarized and mailed along with this application to the NH Lottery Office.
✓ Failure to follow these rules will delay your application process.
☐ If applying for a KENO 603 license, the \$500 license fee. Make checks payable to: NH Lottery Commission. The license fee will be refunded if an application is denied.

The completed application and all required supporting documentation must be delivered to:

NH Lottery Commission 14 Integra Drive Concord, NH 03301

If your application does not include all of the items listed above, is illegible, or if portions of the application are missing required information, it will be considered incomplete and returned. Your application will not be processed until the Licensing department receives all required criminal records from the State Police.

### New Hampshire Lottery Commission Authorization Agreement for Variable Withdrawals (ACH Debits)

I hereby authorize the New Hampshire Lottery Commission to make withdrawals each week from the account identified below at \_\_\_\_\_\_ (Depository Financial Institution, or DFI) and authorize the DFI to charge such withdrawals to my listed account. The amount of such weekly withdrawals will be equal to the amount shown on my weekly invoice for gaming transactions, of which I will maintain a record. Adjusting entries to correct errors are also authorized.

It is agreed that these withdrawals may be made electronically and under the rules of the national and local Automated Clearing House Associations. I understand that this authorization will remain in effect until fourteen days advanced notice of termination or change of account is given to the New Hampshire Lottery Commission. I acknowledge receipt of a completed copy of this authorization.

				Tax I	D. Number		
Name as shown on c (please print)	checking Account	Signature of Author	izing Party (Owner, Partner, Officer)		Date		
Address: Street, P.C	). Box	City	State	Zip Co	ode		
FOR LOTTERY USE ONLY							
Checking Account Only	DFI's Routing a	nd Transit Number	Account Number				



### CONCORD NH 03301-6398 STATE OF NEW HAMPSHIRE ALTERNATE W-9 FORM

#### PLEASE USE THIS FORM TO PROVIDE THE REQUESTED INFORMATION

VENDOR #\_

(Assigned by Purchase & Property)

Pursuant to IRS Regulations, you must furnish your Taxpayer Identification Number (TIN) to the State whether or not you are required to file tax returns. If this number is not provided, you may be subject to a 28% withholding on each payment made to you. To avoid this 28% withholding & to ensure that accurate tax information is reported to the IRS, A RESPONSE IS REQUIRED.

If a service provider is a part of a <u>GROUP PRACTICE</u>, it is the group name & TIN which is required on this Alternate W-9. If the service provider is a <u>SOLE PROPRIETOR</u>, it is the individual name & TIN which is required on this Alternate W-9.

INDIVIDUAL/LEGAL/BUSINESS NA	ME:					
Doing Business As Name:						
TAX/PAYMENT ADDRESS:						
CITY/TOWN:	STATE:	ZIP:				
BUSINESS ADDRESS:						
CITY/TOWN:	STATE:	ZIP:				
TAXPAYER IDENTIFICATION NUM	BER (TIN) as used on IRS tax return					
Social Security # (SSN):	Fed ID # (EIN/F	FIN):				
PRINCIPAL ACTIVITY						
Service Provider	Product/Merchandise Provider	Other Provider				
List the principal type of service, product or ot	her that is provided:					
Medical/Health Care Services	Legal Services	1099 Grant Reportable				
<b>DESIGNATION</b> (select ONLY THOSE wh	nich apply to you/your organization as provide	d to the IRS)				
Individual/Sole-Proprietor	Corporation (S)	Government				
Single Member LLC LLC (C Corporation)	Corporation (C)	Travel/Intern				
LLC (S Corporation)	Partnership	Refund/Reimbursement				
LLC (P Partnership)	Estate or Trust	Tax-Exempt				
EXEMPTIONS:	Exemption from FA	ГСА reporting:				
Under penalty of perjury, I declare that the information p	provided is true, correct & complete, to the best of my kn	owledge & belief.				
NAME & TITLE (print or type):						
TELEPHONE #:         C	ELL PHONE #: FA	X #:				
SIGNATURE:	DATE:					
Website:	E-Mail (Main Office):					
PLEASE RETURN WHEN COMPLETED T						
(Phone) 603-271-3391 (FAX) 603-271-1160	NH LOTTERY 14 INTEGRA DR CONCORD NH 03301					



#### **RETAILER AGREEMENT FOR SALE OF NEW HAMPSHIRE LOTTERY™ TICKETS**

THIS AGREEMENT, made this \_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_ by and between the New Hampshire Lottery Commission, hereinafter referred to as the "Commission" and

\_\_\_\_, hereinafter referred to as the "Retailer or "Lottery Games Retailer".

THE PARTIES HERETO agree as follows:

- 1) The Lottery Games Retailer agrees:
  - a) To provide services for the Commission for the sale of Lottery tickets in accordance with instructions from the Commission as may be amended from time to time.
  - b) That his/her service shall be held to the standard of the reasonably prudent businessperson.
  - c) That he/she is financially responsible to the Commission for all revenues derived from the sale of Lottery tickets; he/she also agrees to keep a separate accounting of money received from the sale of tickets.
  - d) To prominently post point-of-sale and other promotional materials supplied by the Commission.
  - e) To attend such training sessions as the Commission shall deem necessary, to ensure that the Retailer and his/her employees are properly trained in the operation of the computer terminal for the sale of Lottery tickets.
  - f) To have the computer terminal available and operational for the sale and redemption of Lottery tickets during all hours and days that the Retailer's business is open.
  - g) To provide space for all Lottery-supplied equipment including, but not limited to, a lottery terminal, playslip reader, barcode reader, customer ticket checker (prize checker), customer display unit., and advertising monitor.
  - h) To publicly display any Lottery-supplied peripherals to the Lottery terminal that the Lottery may require, said equipment including a customer ticket checker (prize checker), customer display unit, and advertising monitor.
  - i) To provide a twin-receptacle 110 volt electric outlet, the use of which shall be exclusively for Lottery-supplied equipment.



- j) The terminal will be located (indoors) within the Retailer's premises in a location acceptable to the Commission. The Retailer shall not move the terminal and any move of the terminal must be pre-approved by the Commission; said move will be conducted only by the Lottery's on-line vendor.
- k) To exercise due care in the operation of the terminal and other Lottery-supplied equipment, and to immediately notify the on-line vendor (who is responsible for the maintenance of all computer terminals and communications) of any terminal and other Lottery-supplied equipment malfunction by calling its toll-free number.
- 1) Not to perform any mechanical or electrical maintenance of the terminal or any modifications to Lottery equipment.
- m) To ensure the physical security of the terminal and other Lottery-supplied equipment.
- n) To have available sufficient funds to instantly pay (either by cash or check) all claimed prizes up to and including \$599.00.
- o) To inquire of winning numbers and post them prominently as soon as possible, following the drawing of each on-line game.
- p) To be bound by the terms of the Commission's rules and regulations as they pertain to Lottery Games.
- q) To notify the Commission, at least **14 days in advance**, of the Retailer's intent to cease operations of his business either temporarily (due to vacation) or permanently.
- r) To participate in Electronic Funds Transfer (EFT) pertaining to payment of money due the Commission. Participation in Electronic Funds Transfer will entail a weekly withdrawal from the retailer's bank account equal to the amount due the Commission. The retailer may determine the amount due by taking a weekly statement from the terminal. The retailer is responsible for any expenses related to participation in Electronic Funds Transfer.
- s) To be responsible for all instant tickets they have been issued. Retailers are financially responsible even if the tickets are lost, stolen or destroyed.
- t) To settle fully sold lots (books or packs of tickets) prior to the scheduled visit by the sales representative of the Commission. A book of tickets is said to be settled when it is moved from Active status to Settled status and payment of the book becomes due to the Lottery.



Nearly \$2 Billion and Counting for our Schools

- u) That the Retailer's right to sell Lottery tickets may be terminated by the Commission for violation of any of the provisions of this agreement. The Commission reserves the right to remove an on-line computer terminal, and other Lottery-supplied equipment, from the Lottery Retailer's location when the Retailer fails to meet the minimum sales volume requirements of an average of \$500.00 per week over a period of 10 consecutive weeks or for any violation of the provisions of this agreement or the rules and regulations of the Commission. The Retailer, upon demand by the Commission, shall allow free access to the premise for purpose of such removal of the terminal.
- v) The Lottery Commission reserves the right to terminate a retailer's right to sell lottery tickets, if the retailer does not sell sufficient quantity of tickets per week, to meet expenses of maintaining the retailers account.
- 2) IN CONSIDERATION of all services to be performed under this agreement, the Commission agrees:
  - a) To pay the Lottery Retailer 5 percent commission on all valid sales. This commission may be changed from time to time, as determined by the State of New Hampshire, and may be increased by additional bonuses and other incentives.
  - b) To pay the Lottery Retailer a 1% cashing commission on all prizes (up to and including \$599) paid by the Retailer; this cashing commission applies to instant scratch tickets and TriState Pick 3/Pick 4 only.
  - c) To provide a computer terminal and other equipment as described in Section 1(g) above to the Retailer at no installation cost and to provide training for the operation of same.
  - d) To install required communications systems for the operation of terminals at no expense to the Retailer.
  - e) To furnish ticket stock, bet slips, and other forms necessary to produce tickets from the sales terminals.
  - f) To supply instant ticket dispensers, Point Of Sale and other publicity material plus other equipment to facilitate the sale of lottery products.
  - g) To provide routine terminal maintenance.
- 3) The term "Retailer" as used in this agreement includes the natural person, in his/her individual capacity, who has signed this agreement on behalf of a corporation, limited partnership, partnership or any other entity. In affixing his/her signature to this agreement on



behalf of any entity, the said natural person agrees to be personally bound by the provisions of this agreement and agrees to be held personally liable for any breach.

- 4) A survey of ADA requirements will be conducted by the Lottery prior to licensing and periodically thereafter as required by the Commission. A retailer is required to meet ADA (Americans with Disabilities Act) Guidelines under Title II.
- 5) This agreement shall take effect immediately and shall continue until terminated as provided by the terms of the agreement.

Witnessed By:

Owner's Signature	Signature
Print Name	Print Name
Date	Date
Lottery Director	Date



#### New Hampshire Department of Safety DIVISION OF STATE POLICE Central Repository for Criminal Records 33 Hazen Drive, Concord, NH 03305

# **CRIMINAL RECORD RELEASE AUTHORIZATION FORM**

#### SECTION I

PLEASE TYPE OR PRINT CLEARLY, ALL INFORMATION IN THIS SECTION MUST BE COMPLETED

NAME							
	LAST	(MAIDEN/ALIAS)	FIRST	M			
ADDRESS_				1			
7	STREET	ĊITY	STATE	ZIP CODE			
DATE OF B	IRTH	HAIR COLOR	EYE COLOR	_SEX			
DRIVER L	ICENSE NUN	1BER	STẠTE				
My below signature certifies I am the individual listed above and that the information provided is true							
YOUR SIG	GNATURE:	ligned under penalty of unsworn falsifical	ion pursuant to NH BSA 641.3	DATE			

### SECTION II

IF RECORD IS TO BE MAILED TO YOU, OR RECEIVED BY SOMEONE OTHER THAN YOURSELF.

### ALL OF SECTION II MUST BE COMPLETED

I hereby authorize the release of my criminal record conviction(s), if any, to the following individual:

NAME OF PE	ERSON / FIRM TO RECEIN	/E RECORD			
ADDRESS	14 INTEGRA DR	CONCORD	NH	03301	
	STREET	CITY	STATE	ZIP CODE	
YOUR SIGNATURE			DATE		
NOTARY'S SIGNATURE			DATE		
		(Anix Seal)		(Comm. Exp.)	
			DATE		
SIGNATURE	OF PERSON / FIRM TO F	RECEIVE RECORD			

NOTE: A \$25.00 fee is required for each request- make checks payable to: State of NH – Criminal Records.